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2 Apr 02  
B. Tallit

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ming-Jun Li  
Daniel A. Nolan  
Gang Qi

Examiner:

Serial No:

Group Art Unit:

Filed:

For: WAVEGUIDE FIBER FOR DISPERSION  
AND SLOPE COMPENSATIONJ1046 U.S. PTO  
10/044455INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98Asst. Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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Date: 11 Jan 02

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 11 Jan 02	
Date of Deposit	
William J. Chervenak	
Name of applicant, assignee, or Registered Representative	
Signature	
11 Jan 02	
Date of Signature	

FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.	SERIAL NO.
	SP01-029	
	APPLICANT Li et al.	
	FILING DATE	GROUP:

11046 U.S. PTO  
 10/044455  
 01/11/02

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	AR	Lars Gruner-Nielsen et al., NEW DISPERSION COMPENSATING FIBRES FOR SIMULTANEOUS COMPENSATION OF DISPERSION AND DISPERSION SLOPE OF NON-ZERO DISPERSION SHIFTED FIBRES IN THE C OR L BAND", Optical Fiber Conference, 2000, TuG6-1, pp. 101-103
	AS	
	AT	
	AU	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.